

For Six Month Period Ending AUG 2 1990  
(Insert date)

Name of Registrant **JETRO Chicago**

Registration No. **1850**

Business Address of Registrant **401 North Michigan Avenue, Chicago, Illinois 60611**

**I—REGISTRANT**

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection  
Ended

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DEPT. OF JUSTICE  
CRIMINAL DIVISION  
90 OCT 16 P 2:53  
INTERNAL SECURITY  
SECTION  
REGISTERED

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?  
 Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
Shinichi Saito	Executive Director	7-20-'90
Tatsuo Fujino	Executive Director	6-18-'90
Michio Hamano	Director	4-20-'90

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
Ryuhei Katsuno	1283 Asbury Ave. Winetka, IL. 60093	Executive Director	6-12-'90
Koutaro Masuda	111 Lawndale Wilmette, IL. 60091	Executive Director	7-16-'90
Toshiaki Abe	394 Chestnut St. Winnetka, IL. 60093	Director	4-18-'90
Shigeyuki Umezawa	1115 Harms Rd. Glenview, IL. 60025	Director	8-24-'90

## II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

*Name of foreign principal*

*Date of Termination*

9. Have you acquired any new foreign principal<sup>1</sup> during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

*Name and address of foreign principal*

*Date acquired*

10. In addition to those named in Items 8 and 9, if any, list the foreign principals<sup>1</sup> whom you continued to represent during the 6 month reporting period.

Japan External Trade Organization(JETRO)

City of Osaka, Chicago Office

## III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Please refer to Schedule II, attached.

<sup>1</sup>The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>2</sup> as defined below?  
Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Japan External Trade Organization(jetro)

Please refer to Schedule II, PR activities and Section V, Political Propaganda, Item 16 through 24 of this statement.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

<sup>2</sup>The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV—FINANCIAL INFORMATION

## 14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>3</sup>

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
October 5 & 13 (1989)	JETRO Tokyo	Maintenance of JETRO Chicago office	155,088.85
November 6 & 15	" "	"	149,500.05
December 5 & 15	" "	"	211,716.33
January 10 & 12 (1990)	" "	"	148,144.92
February 5 & 15	" "	"	142,628.83
March 7 & 15	" "	"	143,101.00
April 4, 10 & 13	" "	"	155,270.31
May 9 & 15 & 25	" "	"	220,424.73
			<u>1,325,875.02</u>
		Total	

## (b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>4</sup> other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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<sup>3</sup>A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

<sup>4</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

## 15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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Please refer to Schedule III, attached

\$1,292,631.24

Total

## 15. (b). DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value<sup>5</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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## (c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>5</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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## V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

Japan External Trade Organization(JETRO)

<sup>5</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Amount - \$69,300.00

Period - October, 1989 - May, 1990

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☒ Motion picture films ☐ Letters or telegrams  
☐ Advertising campaigns ☐ Press releases ☒ Pamphlets or other publications ☒ Lectures or speeches

☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☐ Newspapers ☐ Libraries  
☐ Legislators ☐ Editors ☒ Educational institutions  
☐ Government agencies ☒ Civic groups or associations ☐ Nationality groups  
☐ Other (specify) \_\_\_\_\_

21. What language was used in this political propaganda:

☒ English

☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

Through JETRO New York (reg. No. 1643) D.Y.R. Public Affairs (ref. No. 3861) and/or Michael Solomon Associates (reg. no. 3923)

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act?

Yes ☒

No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

## VI—EXHIBITS AND ATTACHMENTS

### 25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A<sup>6</sup>

Yes ☐

No ☐

Exhibit B<sup>7</sup>

Yes ☐

No ☐

Not applicable

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits?

Yes ☐

No ☐

If no, please attach the required amendment.

<sup>6</sup>The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

<sup>7</sup>The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.



If you have previously filed an Exhibit C<sup>8</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

## 27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☒

If no, list names of persons who have not filed the required statement.

Short Form for Mr. Katsuno, Mr. Masuda and Mr. Abe is attached with this statement. As for Mr. Umezawa, we shall mail it after receiving the short form. (We have also attached Mr. Umezawa's short form)

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

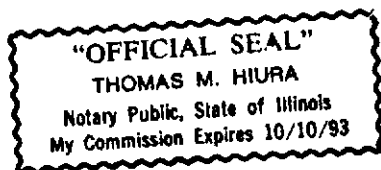
(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

*Tsugio Kusajima*  
Tsugio Kusajima  
Chief Executive Director

Subscribed and sworn to before me at Chicago, Illinois

this 14 day of September, 19 90



*Thomas Hiura*  
(Signature of notary or other officer)

<sup>8</sup>The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

SCHEDULE I

The Japan External Trade Organization(JETRO) is a wholly owned organization of the government of Japan and part thereof, created by special legislative enactment, for the purpose of promoting trade between Japan and other countries and to develop better economic and trade relations between Japan and such other countries. JETRO offices in the United States are engaged primarily in economic and market research, dissemination of economic and trade information on Japan as well as trade show participation and its functions are believed to be similar to those of the United States Trade Center (of the United States Department of Commerce) in Japan and other countries.

Some of JETRO's employees are drawn from local government units including those listed above. Some of such employees drawn from local government units are assigned to work in some of the branch offices of JETRO in the United States. Such persons are employees of JETRO and engaged in a variety of activities in the same manner as other employees.

Because the various local government in general have an interest in the trade and business informations gathered by JETRO through its branch offices in the United States, they are among the recipients in Japan of such information disseminated by JETRO. Such information is principally used by the local governments for dissemination to companies which qualify as small business in their areas. Such small business generally do not have the financial resources to gather such business information on their own as do larger companies. JETRO employees who have had experience with business in the areas of such local governments, because of their familiarity with them, may be called upon in connection with their other duties to gather information for dissemination through JETRO to such government. At all times, however, they act as employee of JETRO and do not represent these government as foreign agents in the United States. The local governments generally make annual contributions to JETRO for the purpose of reimbursing JETRO for expenses incurred in carrying out its functions.

## SCHEDULE II

### Activities

1) Research Department - Conducted research on foreign trade in the United States.

2) PR Department

10-4-'89	Speech participation at "Doing Business with Japan" seminar sponsored by the Illinois District Export Council.
10-19	Speech participation at "Doing Business with Japan" seminar co-sponsored with the Indiana Recreation Vehicle Council.
10-27	Speech participation at "Doing Business with Japan" seminar co-sponsored with the South Dakota Department of Commerce.
11-3	Speech participation at "Doing Business with Japan" seminar sponsored by the Western Illinois University.
11-6	Attended International Trade Club of Chicago seminar.
11-21	Speech participation at Winnetoka Men's Club lecture series sponsored by the Winnetoka Men's Club.
11-29	Speech participation at "Doing Business with Japan" seminar co-sponsored with the Wisconsin State University.
12-4	Speech participation at CPA Association luncheon seminar.
12-8	Speech participation at "Doing Business with Japan" seminar co-sponsored with the Metropolitan Milwaukee Association of Commerce.
12-18	Sponsored "Insight into Japan's Trade Policies" seminar.
1-17-'90	Attended World Trade Club seminar sponsored by the Greater Detroit Chamber of Commerce.
2-7	Speech participation at International Trade Conference sponsored by the Northern State University in Aberdeen, SD.
2-26	Speech participation at Pacific Rim seminar sponsored by the De Paul University.
2-27	Speech participation at Machina Investment Network seminar sponsored by the Network in Machina, IN.

Schedule II (continued)

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2) PR department

- 3-1-'90      Sponsored JETRO Import Promotion meeting.
- 3-2      Sponsored Third Annual CITEC meeting.
- 3-7      Attended Governor's Trade Council meeting sponsored by the council in Bismark, ND.
- 3-16      Speech participation at "Doing Business in Japan" seminar sponsored by Aquinas College in Grand Rapids, MI.
- 3-27      Speech participation at Chicago Technology Round Table sponsored by Northwestern University.
- 4-2      Speech participation at Downtown Cedar Rapids Rotary Club luncheon meeting by JETRO chairman in Cedar Rapids, IO.
- 4-12      Speech participation at Missouri University Business School in Columbus, MO.
- 5-3      Speech participation at Greater Lansing Business Forum in Lansing, MI.
- 5-23      Speech participation at Pacific Rim International Trade Symposium sponsored by the University of Missouri in St. Louis, MO.
- 5-15      Speech Participation at the Japan Trade Conference sponsored by World Trade Council of Wichita in Wichita, KS.
- 3) City of Osaka - As a division in charge of inquiry, gave out information and publicity materials on Japanese merchandise and Japanese companies to those who inquired about this type of information.

# SCHEDULE III

Expenditure - October, 1989 through May, 1990

1) General office			\$1,043,474.30
-Office rental, parking	Equitable Life Assurance Society, System Parking		139,219.03
-Utility	Commonwealth Edison		3,563.18
-Salary	Staff 467,600.26		
	Local <u>211,555.27</u>		
	<u>679,155.53</u>		679,155.53
-Lawyer	Mr. Thomas M. Hiura		1,600.00
-Insurance	Blue Cross/Blue Shield, Honda & Associates		22,998.52
-Membership	Japanese Chamber of Commerce & Industry of Chicago, Chicago Association of Commerce & Industry, Mid-America Club		8,480.15
-Subscription	Henshaw Newspaper Delivery, OCS America, Inc. and other periodicals		14,419.99
-Reference materials			
-Communication	Illinois Bell, AT & T, Pitney Bowes, U.S. Post-office, OCS America, Inc., etc.		5,606.31
			25,289.18
-Meetings	Mid-America Club, Restaurant Sundry, etc.		14,523.38
-Research	Elm International, Inc. 10,000.00		
	Trade Resources 4,500.00		
	The International Marketing- 3,000.00		
	Center, Ltd.		
	R. Russo 2,000.00		
	I.T.A., Inc. 1,600.00		
	<u>Michael Chinworth 1,000.00</u>		
	<u>22,100.00</u>		22,100.00
-Travel	Yamada Travel Service, staff		29,970.76
-Temporary help			4,685.71
-Office equipment	Mid-west Stationers, Inc., Electronic Business-Machine Co., Ambassador Office Equipment, Inc., etc.		21,676.63

# Schedule III

## 1) General office (continued) page - 2

-Office car	Fanning Buick Inc.	16,810.00
-Miscellaneous	Minolta Business Systems, Inc., Pacificorp-Credit Corp., Mid-West Stationers, Horder, Hinckley & Schmitt, Amlings, etc.	33,375.93

## 2) Research Department

-Research	Dr. Edward Suntrup	11,200.00	\$103,930.68
	Marketron Associates	10,000.00	
	Washington Nichibei Consultants	8,000.00	45,500.00
	International Marketing Ctr.	5,500.00	
	Western Reserve Associates	5,000.00	
	I.T.A. Inc.	2,100.00	
	Burditt, Bowles & Radzius	2,000.00	
	Trade Resources	1,750.00	
		<u>45,500.00</u>	

-Subscription	Government Printing Office, OCS America, Inc.	2,133.15
-Reference materials		2,103.46
-Communication	Illinois Bell, OCS America, Inc., etc.	10,283.58
-Travel	Yamada Travel Service, staff	10,777.22
-Meeting	Mid-America Club, Restaurant Suntory, Hatsuhana, etc.	18,047.16
-Seminar	Hotel Nikko	5,848.46
-Temporary help		3,361.67
-Miscellaneous		5,825.98

## 3) PR Department

-PR agent retain fee & expenses	The International Marketing Center, Ltd.	19,762.15	\$145,226.26
-Subscription	OCS America, Inc., etc.	2,104.12	

Schedule III

3) PR Department (continued)

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-Communication	Illinois Bell, OCS America, Inc., etc.	7,167.75
-Meeting	Mid-America Club, Restaurant Suntory, Hatsuhana, etc.	25,242.30
-Travel	Yamada Travel Service, staff	17,311.42
-Special project	Marketron Associates	50,000.00
-Temporary help		6,380.83
-Miscellaneous		17,257.69

GRAND TOTAL

\$1,292,631.24

Registrant: JBTR0, Chicago  
Registration#: 1850

The following persons in your organization filed short form registration statements on the date indicated by each name. These short forms are still in an active status. Please review and update where appropriate.

Date Filed	Name	Current Role	Termination Date?
11/05/68	Harvey Shoemack		
10/17/86	Akio Suzuki	Director	1 - 12 - '89
4/06/87	Shinichi Saito	Director	7 - 20 - '90
10/08/87	Michio Hamano	Director	4 - 20 - '90
10/08/87	Tatsuo Fujino	Director	6 - 18 - '90
10/25/88	Tomoyuki Ito		
3/27/89	Masato Inuyama		
3/27/89	Tsugio Kusajima		
3/27/89	Hisashi Sekine		
8/29/89	Kazuaki Hamada		
8/29/89	Jiro Horita		
8/29/89	Yoshio Yamane		
3/21/90	Yoshiya Ishizaki		

INTERNAL SECURITY  
SECTION  
REGISTRATION UNIT

90 OCT 16 P253

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CRIMINAL DIVISION



## NOTICE

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